| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPR | OVAL |
|------------------------|-----------|
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| 1. Name and Address of Reporting Person [*] <u>GUND GORDON</u> | | g Person [*] | 2. Issuer Name and Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ALGN] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | |
|--|------------------|-----------------------|---|---|--|--|
| (Last) 14 NASSAU S | (First) TREET | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 11/02/2004 | Officer (give title X Other (specify below) See Remark Section | | |
| (Street) PRINCETON (City) | NJ (State) | 08542 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | action Disposed Of (D) (Instr. 3, 4 and | | ansaction Disposed Of (D) (Instr. 3, 4 and length of the securities beneficially (D) or Ind | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--|---|------|---|--------|---|---------|---|---|--------------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1130.4) |
| Common Stock | 11/02/2004 | | Р | | 50,000 | A | \$10.79 | 270,000 | Ι | See Footnote ⁽¹⁾ |
| Common Stock | 11/05/2004 | | Р | | 30,000 | Α | \$10.9 | 300,000 | Ι | See Footnote ⁽¹⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | | | | | - | | | | | | | | |
|---|---|--|---|--------|---|-----|--|---------------------|---|-------|--|--|-----------------|--|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code (| Transaction of Code (Instr. Derivative | | Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 | | ion of str. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | |

1. Name and Address of Reporting Person*

| GUND GORE | <u>OON</u> | |
|--|----------------------------------|----------|
| (Last) 14 NASSAU STF | (First) REET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address <u>Gund Grant</u> | of Reporting Person [*] | |
| (Last) 14 NASSAU STF | (First) REET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address Gund Llura L | of Reporting Person [*] | |
| (Last) 14 NASSAU STF | (First) REET | (Middle) |

| , | | |
|--|---------------------------------|----------|
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o Gund G Zachary | | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o <u>Watson Richard</u> | | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o Dent Rebecca H | | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o Barrows Gail | f Reporting Person [*] | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o | | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |
| (City) | (State) | (Zip) |
| 1. Name and Address o Gund CLAT Inv | | |
| (Last) 14 NASSAU STRE | (First) ET | (Middle) |
| (Street) PRINCETON | NJ | 08542 |

| (City) (State) (Zip) | |
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Explanation of Responses:

1. These securities are owned by Gund CLAT Investments LLC and by Gordon Gund, as Trustee.

Remarks:

The Reporting Persons include Llura L. Gund, Grant Gund, G. Zachary Gund, Gordon Gund, Richard L. Watson, Rebecca H. Dent, George Gund III, Gail Barrows and Gund CLAT Investments, LLC. The Reporting Persons, in the aggregate, beneficially own 6,328,450 shares of Common Stock of the Issuer or 10.49% of the outstanding shares of the Issuer's Common Stock. Neither the fact of this filing nor anything contained herein shall be deemed an admission by any of the Reporting Persons that a group exists within the meaning of the Securities Exchange Act of 1934, as amended.

| <u>Theodore W. Baker as</u> <u>Attorney in Fact</u> | <u>11/09/2004</u> |
|--|-------------------|
| <u>Theodore W. Baker as</u> <u>Attorney in Fact for Gordon</u> <u>Gund - Manager</u> | <u>11/09/2004</u> |
| <u>Theodore W. Baker as</u> <u>Attorney in Fact</u> | <u>11/09/2004</u> |
| <u>Theodore W. Baker as</u> <u>Attorney in Fact</u> | <u>11/09/2004</u> |
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| <u>Theodore W. Baker as</u> <u>Attorney in Fact</u> | <u>11/09/2004</u> |
| the Clause true of Descentions Descent | Data |

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.