SEC Fo	orm 4
--------	-------

Г

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden hours per response: 0.5

1. Nume and Address of Reporting Leson			2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ ALGN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Sebastian M	larkus Chris	tot		Director	10% Owner				
p									
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	SY INC [ ALGN ]       (Check all applicable)         Director       10% Owner         X       Officer (give title Other (specify below)         SVP & Mng Dir EMEA					
· · /	( )	( <i>,</i>	02/20/2020	SVP & M	Ing Dir EMEA				
C/O ALIGN 1	TECHNOLOGY	Y INC.							
2820 ORCHA	RD PARKWAY	Y							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Gro	up Filing (Check Applicable				
(Street)				Line)					
SAN JOSE CA	95131		X Form filed by O	Form filed by One Reporting Person					
	CA	93131			lore than One Reporting				
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of (			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Common Stock	02/20/2020		М		67	Α	\$0.00	241	D	
Common Stock	02/20/2020		F		32	D	\$272.49	209	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	<b>\$0.0001</b> <sup>(1)</sup>	02/20/2020		М			67	(2)	(2)	Common Stock	67	\$0.00	201	D	
Restricted Stock Unit	<b>\$</b> 0.0001 <sup>(1)</sup>	02/20/2020		Α		1,811		(3)	(3)	Common Stock	1,811	\$0.00	1,811	D	
Market Stock Unit	\$0.0001 <sup>(1)</sup>	02/20/2020		A		9,193		(4)	(4)	Common Stock	9,193	\$0.00	9,193	D	

## Explanation of Responses:

1. Represents par value of ALGN common stock.

2. 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

3. 1/4th of the restricted stock unit granted on February 20, 2020 will become vested on February 20, 2021 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

4. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

### **Remarks:**

Julie Ann Coletti Atty-in-Fact for Markus Christof Sebastian

02/24/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.