## SEC Form 4

П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject	to
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burder hours per response: 0.5

			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Add	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ ALGN ]		5. Relationship of Reporting Person(s) to Issu (Check all applicable) X Director 10% Ow			
	(First)		3. Date of Earliest Transaction (Month/Day/Year) 02/27/2017		Officer (give title below)	Other (specify below)		
2560 ORCHA	RD PARKWAY	Y	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable		
(Street)				X	Form filed by One Rep	porting Person		
SAN JOSE	CA	95131			Form filed by More that Person	an One Reporting		
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1- Holl-Derivative Securities Acquired, Disposed of, of Derivitiany Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount (A) (D)		Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)	
Common Stock	02/27/2017	02/27/2017	М		10,000	A	\$22.67	410,988	I	Trust for reporting person	
Common Stock	02/27/2017	02/27/2017	М		10,000	A	\$16.01	420,988	I	Trust for reporting person	
Common Stock	02/27/2017	02/27/2017	S		40,000	D	\$102.4481(1)	380,988	I	Trust for reporting person	
Common Stock	02/28/2017	02/28/2017	s		10,000	D	\$103.5508 <sup>(2)</sup>	370,988	I	Trust for reporting person	
Common Stock								148,767	I	Trust for children	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	4			
Right to Buy (Common Stock)	\$22.67	02/27/2017	02/27/2017	М			10,000	(3)	05/23/2017	Common Stock	10,000	\$0	0	D	
Right to Buy (Common Stock)	\$16.01	02/27/2017	02/27/2017	М			10,000	(4)	05/20/2017	Common Stock	10,000	\$0	0	D	

#### Explanation of Responses:

1. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$102.18 to \$102.83, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$103.50 to \$103.74, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. Represents an option that became fully vested and exercisable on May 15, 2008.

4. Represents an option that became fully vested and exercisable on May 20, 2010.

Roger E. George Atty-in-Fact for Joseph Lacob

02/28/2017

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.