

| OMB APPROVAL                                 |           |
|--|-----------|
| OMB Number:                                  | 3235-0287 |
| Estimated average burden hours per response: | 0.5       |

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

|  |         |          |   |  |  |  |  |  |  |  |  |
|--|---------|----------|---|--|--|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person<br><u>Hockridge Stuart A</u> |         |          | 2. Issuer Name and Ticker or Trading Symbol<br><u>ALIGN TECHNOLOGY INC [ ALGN ]</u> |  |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br>Director _____ 10% Owner _____<br><input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) <u>EVP Global HR</u> |  |  |  |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br><u>02/20/2023</u>               |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br>Form filed by More than One Reporting Person  |  |  |  |  |  |
| C/O ALIGN TECHNOLOGY INC.<br>410 NO. SCOTTSDALE RD., SUITE 1300      |         |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            |  |  |  |  |  |  |  |  |
| (Street)   | AZ      |          | 85281   |  |  |  |  |  |  |  |  |
| (City)   | (State) | (Zip)    |   |  |  |  |  |  |  |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |          | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|----------|---|--|--|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price    |   |  |  |
| Common Stock                    | 02/20/2023                           |  | M                              |   | 2,790   | A          | \$0.00   | 9,194 <sup>(1)</sup>  | D  |  |
| Common Stock                    | 02/20/2023                           |  | F                              |   | 1,286   | D          | \$316.71 | 7,908   | D  |  |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |       |
|--|--|--------------------------------------|--|--------------------------------|---|--|-------|--|-----------------|---|--|--|---|--|-------|
|  |  |                                      |  | Code                           | V | (A)  | (D)   | Date Exercisable   | Expiration Date |   |  |  |   |  | Title |
| Restricted Stock Unit                      | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | M                              |   |  | 227   | (3)  | (3)             | Common Stock  | 227  | \$0.00   | 681   | D  |       |
| Restricted Stock Unit                      | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | M                              |   |  | 208   | (4)  | (4)             | Common Stock  | 208  | \$0.00   | 416   | D  |       |
| Restricted Stock Unit                      | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | M                              |   |  | 453   | (5)  | (5)             | Common Stock  | 453  | \$0.00   | 452   | D  |       |
| Restricted Stock Unit                      | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | M                              |   |  | 521   | (6)  | (6)             | Common Stock  | 521  | \$0.00   | 0   | D  |       |
| Market Stock Unit                          | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | M                              |   |  | 1,381 | (7)  | (7)             | Common Stock  | 1,381                                      | \$0.00   | 0   | D  |       |
| Restricted Stock Unit                      | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | A                              |   |  | 1,675 | (8)  | (8)             | Common Stock  | 1,675                                      | \$0.00   | 1,675   | D  |       |
| Market Stock Unit                          | \$0.0001 <sup>(2)</sup>                                | 02/20/2023                           |  | A                              |   |  | 3,402 | (9)  | (9)             | Common Stock  | 3,402                                      | \$0.00   | 3,402   | D  |       |

**Explanation of Responses:**

- Includes 125 shares acquired under the ALGN ESPP on January 31, 2023.
- Represents par value of ALGN common stock.
- 1/4th of the restricted stock unit granted on February 20, 2022 became vested on February 20, 2023 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2021 became vested on February 20, 2023 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2020 became vested on February 20, 2023 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2023 and shares were delivered to reporting person on such vest date.
- The market stock unit granted February 20, 2020 became fully vested on February 20, 2023, and shares were delivered to reporting person on such vest date.
- 1/4th of the restricted stock unit granted on February 20, 2023 will become vested on February 20, 2024 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually thereafter and shares will be delivered to reporting person on each vest date.
- Represents the maximum number of shares which may be issued under the market stock unit. 100% of the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

**Remarks:**

/s/ Julie A. Coletti Attorney-in-Fact for Stuart Hockridge 02/23/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.