SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad Puco Chris		ing Person [*]	2. Date of Event Requiring Statement (Month/Day/Year) 12/05/2012		3. Issuer Name and Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ALGN]					
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY, INC.					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below)		(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)		
2560 ORCHARD PARKWAY							² 0. I	ndividual or Joint/Group Filing (Check blicable Line)		
(Street) SAN JOSE CA 94131			_		VP, No. American Sales			X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	_							
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					. Amount of Securities seneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		cṫ(D) (Inst	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversior or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	ivative or Indirect		
Right to Buy	(Common Sto	ock)	02/20/2010 ⁽¹⁾	02/20/2019	Common Stock	1,459	7.81	D		
Right to Buy (Common Stock)			02/20/2011 ⁽¹⁾	02/20/2017	7 Common Stock	3,563	17.94	D		
Restricted Stock Unit			02/20/2010 ⁽²⁾	02/20/2019	O Common Stock	875	0.0001(3)	D		
Restricted Stock Unit			02/19/2011 ⁽²⁾	02/19/2017	7 Common Stock	1,500	0.0001 ⁽³⁾	D		
Restricted Stock Unit			02/18/2012 ⁽²⁾	02/18/2018	3 Common Stock	7,500	0.0001(3)	D		
Restricted Stock Unit			02/20/2013 ⁽²⁾	02/20/2019	Common Stock	8,400	0.0001(3)	D		

Explanation of Responses:

1. Represents an option in which 25% of the shares subject to the option became vested and exercisable one year after the date of grant and 1/48th of the shares subject to the option became vested and exercisable each month thereafter.

2. 1/4th of the shares subject to the restricted stock unit became vested one year after the date of grant and 1/4th of the shares subject to the restricted stock unit become vested each year thereafter. Shares will be delivered to reporting person on each vest date.

3. Represents par value of ALGN common stock.

Roger E. George, Atty-in-Fact for Christopher C. Puco

12/14/2012

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.