## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burder hours per response: 0.5

1. Name and Address of Reporting Person <sup>*</sup> Thaler Warren S			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ ALGN ]		tionship of Reporting P all applicable) Director	erson(s) to Issuer 10% Owner
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKWAY		Y, INC.	3. Date of Earliest Transaction (Month/Day/Year) 07/26/2012		Officer (give title below)	Other (specify below)
		Y	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable	
(Street)				X	Form filed by One Re	eporting Person
SAN JOSE	CA	95131			Form filed by More th Person	nan One Reporting
(City)	(State)	(Zip)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
Common Stock	07/26/2012	07/26/2012	М		10,000	A	\$12.89	70,450	D				
Common Stock	07/26/2012	07/26/2012	М		10,000	A	\$16.01	80,450	D				
Common Stock	07/26/2012	07/26/2012	S		8,000	D	\$34.8101	72,450	D				
Common Stock								106,304	Ι	By Trust <sup>(1)</sup>			

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(cigi, puis, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		i of 🛛		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	4			
Right to Buy (Common Stock)	\$12.89	07/26/2012	07/26/2012	М			10,000	05/15/2009	05/15/2015	Common Stock	10,000	\$0	0	D	
Right to Buy (Common Stock)	\$16.01	07/26/2012	07/26/2012	М			10,000	05/20/2011	05/20/2017	Common Stock	10,000	\$0	0	D	

Explanation of Responses:

1. Represents shares held by the Thaler Family Trust for which the spouse of the reporting person is the trustee

### Roger E. George, Atty-in-Fact <u>0</u> for Warren Thaler

07/30/2012

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.