FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Machinaton | $D \subset$ | 20540 |
|-------------|-------------|-------|
| Vashington, | D.C. | 20549 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | | | | | | | | | | |
| hours per response: 0.5 | | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | _ | | | | | | | | | | | | | | |
|--|--|----------------|-------------|-----------------|---|--|---|--------------|--|--|------------|-----------------|---|--|---------------------------------------|-------|------------------------|---------------------------|--|
| Name and Address of Reporting Person* A DIVINIO DAY MONID ID | | | | | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| <u>LARKIN C RAYMOND JR</u> | | | | | | | | | | | | | | X Directo | or | | 10% Ow | ner | |
| (Last) | (Fi | irst) | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/17/2023 | | | | | | | | Officer below) | (give title | | Other (s below) | pecify | |
| C/O ALI | GN TECHI | NOLOGY INC. | | | 4 16 | Λ | | Data | f Original I | ام ما | /Manth/Da | () (0.0.1) | | C. Individual on Jaint/Craus 5'llion (Ohach Analis 1) | | | | | |
| | | | | | 4. 11 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| 410 NO. SCOTTSDALE RD., SUITE 1300 | | | | | _ | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| (Street) | | | | | | | | | | | | | | | Form filed by More than One Reporting | | | | |
| TEMPE | A | 7. | 85281 | | | | | | | | | | | Perso | son | | | | |
| | TEMPE 71E 00201 | | | | - Ru | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | | | | | |
| (=.9) | (- | , | () | | | Check this box to indicate that a transaction was made pursuant to a contract, i | | | | | | | | | | | | | |
| | | | | | | | satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| 1. Title of S | Security (Ins | tr. 3) | | 2. Trans | action | | 2A. Deem | ned | 3. | | | ties Acqui | |) or 5. Amount of 6 | | | 6. Ownership 7 | . Nature | |
| | , , | • | | Date (Month) | Day/Yea | Execution Date, if any | | | | Transaction Disposed Of (D) (Instr. 3, Code (Instr. 5) | | | str. 3, 4 a | 4 and Securities Beneficially | | | | of Indirect Beneficial | |
| (MORITADE | | | | | | | (Month/Day/Year) | | | | | | | Owned | ollowing (I) (I | | nstr. 4) | Ownership | |
| | | | | | | | | | Code | v | Amount | (A) o | r Price | Reporte Transac | ion(s) | | 10 | Instr. 4) | |
| | | | | | | | | | Code | Ľ | Amount | (D) | | (Instr. 3 | (Instr. 3 and 4) | | | | |
| Common Stock 05/17/2 | | | | 7/2023 | /2023 M 1,486 A \$ | | \$0. | 00 20 | 20,375 | | D | | | | | | | | |
| | Sec | urities | Acqu | uired, Di | ispo | sed of, | or Ben | eficiall | y Owned | | | | | | | | | | |
| | | | | | | | | | , option | | | | | | | | | | |
| 1. Title of | 2. | 3. Transaction | 3A. Deeme | ·d | 4. | | 5. Num | her of | 6. Date Ex | ercis | ahle and | 7. Title au | nd | 8. Price of | 9. Number | of | 10. | 11. Nature | |
| Derivative | Conversion | Date | Execution D | Date, | Transac | action Derivativ | | Derivative I | | Expiration Date Amount of | | | of | Derivative | derivative | | Ownership | of Indirect | |
| Security (Instr. 3) | | | | | | | e (Instr. Securities Acquired (A) | | (Month/Day/Year) Securities Underlying | | | | | Security (Instr. 5) | Securities Beneficially | | Direct (D) Own | Beneficial Ownership | |
| ` | Derivative Security | | , | · | • | | or Disp | | Derivative Secu | | | | | 1` ′ | Owned Following | | or Indirect (Instr. 4) | | |
| | Security | | | | | | 3, 4 an | | (Instr. 3 and 4) | | | | iiiu 4) | | Reported | | 1 | | |
| | | | | | | | | | | | | | | | Transaction(s) (Instr. 4) | on(s) | | | |
| | | | | | | | | | | | | | or Numbe | . | | | | | |
| | | | | | | l | l | l | Date | | Expiration | <u> </u> | of | | | | | | |
| | | | | | Code | ٧ | (A) | (D) | Exercisab | le [| Date | Title | Shares | | | | | | |
| Restricted Stock | \$0.0001 ⁽¹⁾ | 05/17/2023 | | | M | | | 1,486 | (2) | | (2) | Common | 1,486 | \$0.00 | 0 | | D | | |
| Units | \$0.0001 | 03/1//2023 | | | IVI | | | 1,400 | (2) | | (4) | Stock | 1,400 | Φυ.υυ | " | | ע | | |
| Restricted | | | | | | Т | | | | \top | | | | | | | | | |
| Stock Units | \$0.0001 ⁽¹⁾ | 05/17/2023 | | | A | | 1,372 | | (3) | | (3) | Common Stock | 1,372 | \$0.00 | 1,372 | | D | | |

Explanation of Responses:

- 1. Represents par value of ALGN common stock.
- $2.\,100\%\ of\ the\ restricted\ stock\ unit\ granted\ on\ May\ 18,\,2022\ became\ vested\ on\ May\ 17,\,2023\ and\ shares\ were\ delivered\ to\ reporting\ person.$
- 3. 100% of the restricted stock unit granted on May 17, 2023 will become vested on the earlier of (i) May 17, 2024 or (i) the date of the 2024 annual meeting of stockholders. Shares will be delivered to reporting person on the vest date.

Remarks:

/s/Julia Ann Coletti Attorneyin-Fact for C. Raymond Larkin 05/19/2023

Jr.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.