SEC Form 4

Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	.,		or Section 30(h) of the Investment Company Act of 1940								
1. Name and Address of Reporting Person [*] Thaler Warren S			2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>1 lidler wa</u>	<u>arren 5</u>		[[]	X Director 10% Owner							
C/O ALIGN TECHNOLOGY INC. 410 NO. SCOTTSDALE RD., SUITE 1300			3. Date of Earliest Transaction (Month/Day/Year) 11/10/2021	Officer (give title Other (specify below) below)							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)				X Form filed by One Reporting Person							
TEMPE	AZ	85281		Form filed by More than One Reporting Person							
(City)	(State)	(Zip)									
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3) 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially 6. Ownership Form: Direct (D) or 2. Transaction 7. Nature of Transaction Code (Instr. Date (Month/Day/Year) Indirect Beneficial if any (Month/Day/Year) Owned Following Ownership (Instr. 4) 8) Indirect (I) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4) (A) or (D) Price v Code Amount See \$703.0588(1) 11/10/2021 s Common Stock 5,000 D 46,378 Ι Footnote⁽²⁾ Common Stock 29,092 D

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported in column 4 is an average price. These shares were sold in multiple transactions at prices ranging from \$702.75 to \$703.415, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. The shares are held directly by the Thaler Family Trust for which the spouse of the reporting person is the trustee.

Remarks:

Julie Ann Coletti Attorney-in-11/12/2021 Fact for Warren S. Thaler

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934