FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Dallas Kevin J | | | | AI | Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN] Date of Earliest Transaction (Month/Day/Year) | | | | | | | | | ck all applic Directo | * | | son(s) to Issuer 10% Owner Other (specify | | |
|--|---|--|---|--------------|---|--|---|------|--|-----|------------------|---|-----------------------------------|---|---|---|---|--|---------------------------------------|
| (Last) | (Fi | rst) (| Middle) | | 05/22/2024 | | | | | | | | | below) | | | below) | | |
| C/O ALIGN TECHNOLOGY INC. | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| 410 N. SCOTTSDALE ROAD, SUITE 1300 | | | | | | | | | | | | | | Line) X Form filed by One Reporting Person | | | | | |
| (Street) TEMPE | A | Z 8 | 35288 | | | | | | | | | | | 21 | | iled by More | | One Repor | - 1 |
| | | | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | | | | |
| (City) | (City) (State) (Zip) | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | |
| | | Tabl | e I - Non- | Deriva | ative | Sec | uritie | s Ac | quired, | Dis | posed o | of, or B | enefic | ially | Owned | k | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Date) | | | | Execution Da | | Date | Code (Instr. | | | | | , 4 and Securiti | | es Form ially (D) (Following (I) (I | | : Direct r Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | Code | v | Amount | (A) (D) | or Pri | се | Transact (Instr. 3 | action(s) | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Da if any (Month/Day/ | ate, T | 4. Transa Code (I | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Se (Instr. 3 and 4 | | E | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4) | у | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisab | | xpiration ate | Title | Amou or Numb of Share | er | | | | | |
| Restricted Stock Units | \$0.0001 ⁽¹⁾ | 05/22/2024 | | | A | | 1,148 | | (2) | | (2) | Common Stock | 1,14 | 8 | \$0 | 1,148 | | D | |

Explanation of Responses:

- 1. Represents par value of ALGN common stock.
- 2. 100% of the restricted stock units granted on May 22, 2024 will become vested on the earlier of (i) May 22, 2025 or (ii) the date of the next annual meeting of stockholders. Shares will be delivered to the Reporting Person on such vesting date assuming the continued service of the Reporting Person on such vesting date.

/s/ Julie Ann Coletti, Attorney-05/24/2024 in-Fact for Kevin J. Dallas

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.