

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>HOGAN JOSEPH M</u> (Last) (First) (Middle) <u>C/O ALIGN TECHNOLOGY INC.</u> <u>2820 ORCHARD PARKWAY</u> (Street) <u>SAN JOSE CA 95131</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC [ALGN]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>President and CEO</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>02/20/2021</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/20/2021		M		54,046	A	\$0.00	168,205 ⁽¹⁾	D	
Common Stock	02/20/2021		F		24,273	D	\$599.25	143,932	D	
Common Stock								1,500	I	By Spouse ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2021		M		6,250		(4)	(4)	Common Stock	6,250	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2021		M		2,400		(5)	(5)	Common Stock	2,400	\$0.00	2,400	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2021		M		3,349		(6)	(6)	Common Stock	3,349	\$0.00	6,697	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2021		M		2,716		(7)	(7)	Common Stock	2,716	\$0.00	8,148	D	
Market Stock Unit	\$0.0001	02/20/2021		M		39,331		(8)	(8)	Common Stock	39,331	\$0.00	0	D	
Restricted Stock Unit	\$0.0001	02/20/2021		A		5,649		(9)	(9)	Common Stock	5,649	\$0.00	5,649	D	
Market Stock Unit	\$0.0001	02/20/2021		A		28,245		(10)	(10)	Common Stock	28,245	\$0.00	28,245	D	

Explanation of Responses:

- Includes 123 shares acquired under the ALGN ESPP on January 29, 2021.
- These securities are held directly by the spouse of the Reporting Person. The Reporting Person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.
- Represents par value of ALGN common stock.
- 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021.
- 1/4th of the restricted stock unit granted on February 20, 2018 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2020 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- The market stock unit granted on February 20, 2018 became fully vested on February 20, 2021. Shares were delivered to reporting person on February 22, 2021.
- 1/4th of the restricted stock unit granted on February 20, 2021 will become vested on February 20, 2022 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- Represents the maximum number of shares which may be issued under the market stock unit. 100% of the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Remarks:

/s/Julie Ann Coletti Attorney-in-Fact for Joseph M Hogan 02/24/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

**** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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