FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OIVIB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | |

OMB ADDDOMA

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | | | , | | | | | | | | | | | |
|--|---|--|--|---------|---|--|---|-----------------------------------|----------------------------------|-------------------------|----------------------|---|-------------------------------|---|---|---|---|--|--|
| 1. Name and Address of Reporting Person* Hockridge Stuart A | | | | | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN] | | | | | | | | | ck all applic Directo | cable) | g Pers | son(s) to Iss 10% On Other (s | wner | |
| (Last) C/O ALI | nst) (First) (Middle) O ALIGN TECHNOLOGY INC. | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/28/2020 | | | | | | | | | below) SVP G | | below) | эрсспу |
| 2820 ORCHARD PARKWAY | | | | | | 4 If Amendment Date of Original Filed (Menth/Date) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | Street) SAN JOSE CA 95131 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Line) | | | | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | 1 61301 | | | | |
| | | Tab | le I - No | n-Deriv | ative | Sec | curiti | ies Ac | quired | l, Dis | sposed o | of, or B | enefi | cially | / Owned | t | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | Execution | | on Date, | Transaction Disposed Code (Instr. | | ies Acqui Of (D) (In | red (A) str. 3, 4 | and 5) Securit Benefic Owned | | es ially Following | Form (D) o | n: Direct r Indirect istr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) Pri | | ce | Reported Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock 12/28/2 | | | | | | 2020 | | | M | | 1,200 | A | \$ | 0.00 | 0 10,486 | | | D | |
| Common Stock 12/28/2 | | | | /2020 | 2020 | | | F | | 643 | D | \$5 | 20.86 | 9, | 843 | | D | | |
| | | T | able II - | | | | | | | | osed of converti | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deem Execution if any (Month/Da | n Date, | 4. Transaction Code (Instr. 8) | | n of | | 6. Date E Expiration (Month/I | on Dat | | Amount of Securities Underlying Derivative Sec (Instr. 3 and 4) | | rity | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | ly Owner Form: Direct or Ind (I) (Ins | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | Amo or Num of Sha | ber | | | | | |
| Restricted Stock Unit | \$0.0001(1) | 12/28/2020 | | | M | | | 1,200 | (2) | T | (2) | Common | 1,2 | 00 | \$0.00 | 1,199 | | D | |

Explanation of Responses:

- 1. Represents par value per share of issuer's common stock.
- 2. 1/4th of the restricted stock unit granted on December 27, 2017 became vested and exercisable on December 27, 2020 and shares were delivered to reporting person on December 28, 2020. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

Remarks:

/s/Julie Ann Coletti, Attorneyin-Fact for Stuart A. Hockridge

12/29/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.