## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPRO	DVAL
l	OMB Number:	3235-0287
l	Estimated average burd	en
l	hours per response:	0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Morici John		Person*		uer Name <b>and</b> Ticke GN TECHNO		,	(Check	ationship of Reportin c all applicable) Director Officer (give title	10% (	Ssuer  Dwner (specify	
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY INC. 2820 ORCHARD PARKWAY			te of Earliest Transa 0/2021	ction (Month/I	Day/Year)	X	below) below)  Chief Financial Officer				
(Street) SAN JOSE CA 95131 (City) (State) (Zip)			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3) 2. Transa				2A. Deemed	3	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature of	

### 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) Transaction Form: Direct **Execution Date** Securities Indirect Code (Instr 8) (Month/Day/Year) if any (Month/Day/Year) (D) or Indirect Beneficial Owned Following (I) (Instr. 4) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Code ν Amount Price 02/20/2021 M \$0.00 15,857(1) D Common Stock 12.817 Α Common Stock 02/20/2021 F 5,954 D \$599.25 9,903 D

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)			f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		M			1,750	(3)	(3)	Common Stock	1,750	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		M			575	(4)	(4)	Common Stock	575	\$0.00	575	D	
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		M			670	(5)	(5)	Common Stock	670	\$0.00	1,339	D	
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		M			604	(6)	(6)	Common Stock	604	\$0.00	1,811	D	
Market Stock Unit	\$0.0001	02/20/2021		M			9,218	(7)	(7)	Common Stock	9,218	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		A		1,367		(8)	(8)	Common Stock	1,367	\$0.00	1,367	D	
Market Stock Unit	\$0.0001 <sup>(2)</sup>	02/20/2021		A		6,837		(9)	(9)	Common Stock	6,837	\$0.00	6,837	D	

### **Explanation of Responses:**

- $1. \ Includes \ 124 \ shares \ acquired \ under \ the \ ALGN \ ESPP \ on \ January \ 31, \ 2020.$
- 2. Represents par value of ALGN common stock.
- 3. 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021.
- 4. 1/4th of the restricted stock unit granted on February 20, 2018 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will annually and shares will be delivered to reporting person on each vest date.
- 5. 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date
- 6. 1/4th of the restricted stock unit granted on February 20, 2020 became vested on February 20, 2021 and shares were delivered to reporting person on February 22, 2021. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 7. The market stock unit granted on February 20, 2018 became fully vested on February 20, 2021. Shares were delivered to reporting person on February 22, 2021.
- 8. 1/4th of the restricted stock unit granted on February 20, 2021 will become vested on February 20, 2022 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 9. Represents the maximum number of shares which may be issued under the market stock unit. 100% of the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

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/s/Julie Ann Coletti Attorneyin-Fact for John Morici

\*\* Signature of Reporting Person

Date

02/24/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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