FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b)

1. Title of Security (Instr. 3)

Common Stock

Common Stock

Common Stock

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to

2. Transaction

(Month/Day/Year)

08/19/2014

08/19/2014

08/19/2014

2A. Deemed

if any

**Execution Date** 

(Month/Day/Year)

08/19/2014

08/19/2014

08/19/2014

## **OMB APPROVAL** OMB Number:

6. Ownership

Form: Direct

(I) (Instr. 4)

D

D

D

7. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

Estimated average burden hours per response: 0.5

			or Section 30(h) of the Investment Company Act of 1940							
	ress of Reporting Γ THOMAS		2. Issuer Name <b>and</b> Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ ALGN ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
PRESCUT.	I IHOMAS	<u>IVI</u>		X	Director	10% Owner				
(Last)	(Last) (First) (Middl		3. Date of Earliest Transaction (Month/Day/Year)	_ x	Officer (give title below)	Other (specify below)				
C/O ALIGN T	TECHNOLOGY	INC INC	08/19/2014		President and CEO					
2560 ORCHA	RD PARKWAY	7								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN JOSE	CA	95131		X	Form filed by One Reporting Person					
					Form filed by More than One Reporting Person					
(City)	(State)	(Zip)			1 615611					
		Table I - Non-D	perivative Securities Acquired, Disposed of, or Bene	ficially	Owned					

3. Transaction

Code (Instr.

8)

Code

M

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	ive Conversion Date Execution or Exercise (Month/Day/Year) if any		3A. Deemed Execution Date, if any (Month/Day/Year)	cution Date, Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Right to Buy (Common Stock)	\$17.94	08/19/2014	08/19/2014	М			3,646	02/19/2011	02/19/2020	Common Stock	3,646	\$0	0	D	
Right to Buy (Common Stock)	\$20.79	08/19/2014	08/19/2014	М			11,250	02/18/2012	02/18/2018	Common Stock	11,250	\$0	13,125	D	

## **Explanation of Responses:**

- 1. Includes 442 shares acquired on July 31, 2014 under the ALGN Employee Stock Purchase Plan.
- 2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.00 to \$55.18, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Roger E. George Atty-in-Fact

08/20/2014

\*\* Signature of Reporting Person

4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)

(A) or (D)

Α

D

Amount

3,646

11,250

14,896

Price

\$17.94

\$20.79

\$55.07(2)

5. Amount of

Beneficially Owned Following

220,614(1)

231,864

216,968

Securities

Reported

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.