## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

### OMB APPROVAL OMB Number: 3235-0287

Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Person <sup>*</sup> Puco Christopher C.			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ ALGN ]		tionship of Reporting Pe all applicable) Director	10% Owner		
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKWAY			- 3. Date of Earliest Transaction (Month/Day/Year) 12/20/2013	- X	Officer (give title below) VP, No. Americ	Other (specify below) can Sales		
(Street) SAN JOSE CA 94131 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
		Code V Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	12/20/2013	12/20/2013	С		10,000	Α	\$ <mark>0</mark>	14,766 <sup>(1)</sup>	D	
Common Stock	12/20/2013	12/20/2013	F		3,758	D	\$57.03	11,008	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	12/20/2013	12/20/2013	С			10,000	(3)	(3)	Common Stock	10,000	\$ <mark>0</mark>	30,000	D	

**Explanation of Responses:** 

1. Includes 479 shares acquired under the ALGN Employee Stock Purchase Plan on July 31, 2013.

2. Represents par value of ALGN Common Stock

3. 1/4th of the restricted stock unit granted on January 2, 2013 became vested on December 20, 2013 and shares were delivered to reporting person on such date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

> Roger E. George Atty-in-Fact 12/23/2013 for Christopher C. Puco

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.