Common Stock

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APF	PROVAL
OMB Number:	3235-02

Owned Following (I) (Instr. 4)

D

D

D

Reported Transaction(s) (Instr. 3 and 4)

54,968(1)

28,384

0

(A) or (D)

Α

D

D

Amount

54,837

26,584

28,384

Price

\$0.00

\$63.29

\$63.245(2)

Ownership (Instr. 4)

287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* Mack Timothy A				suer Name and Tic		Symbol INC [ALGN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Mack Timothy A</u>								Director Officer (give title	10% C	Owner (specify		
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY INC. 2560 ORCHARD PARKWAY				ate of Earliest Trans 20/2016	saction (Month	n/Day/Year)	X	below)	below us Dev			
2500 OKCII/IKD I/IKKW/II			4. If	Amendment, Date	of Original File	d (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) SAN JOSE	CA	95131					Line) X	Form filed by One Form filed by Mor Person				
(City)	(State)	(Zip)						reisuii				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		

Code

M

F

(Month/Day/Year) 8)

02/20/2016

02/20/2016

02/23/2016

02/20/2016

02/20/2016

02/23/2016

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of E		6. Date Exerc Expiration Da (Month/Day/\)	ntion Date on Day/Year) U		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			2,000	(4)	(4)	Common Stock	2,000	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			6,412	(5)	(5)	Common Stock	6,412	\$0.00	6,412	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			2,975	(6)	(6)	Common Stock	2,975	\$0.00	5,950	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			3,350	(7)	(7)	Common Stock	3,350	\$0.00	3,350	D	
Restricted Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			1,625	(8)	(8)	Common Stock	1,625	\$0.00	4,875	D	
Market Stock Unit	\$0.0001 ⁽³⁾	02/20/2016	02/20/2016	M			38,475	(9)	(9)	Common Stock	38,475	\$0.00	0	D	

Explanation of Responses:

- 1. Includes 99 shares issued on July 31, 2015 and 32 shares issued on January 29, 2016 under the ALGN ESPP.
- 2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.93 to \$63.50, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 3. Represents par value of ALGN common stock
- 4. 1/4th of the restricted stock unit granted on February 20, 2012 became vested on February 20, 2016 and shares were delivered to reporting person on February 22, 2016.
- 5. 1/4th of the restricted stock unit granted on February 20, 2013 became vested on February 20, 2016 and shares were delivered to reporting person on February 22, 2016. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 6. 1/4th of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2016 and shares were delivered to reporting person on February 22, 2016. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 7. 1/2 of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2016 and shares were delivered to reporting person on February 22, 2016. 1/2 of the restricted stock unit will vest
- on February 20, 2017 and shares will be delivered to reporting person on such vest date. 8. 1/4th of the restricted stock unit granted on February 20, 2015 became vested on February 20, 2016 and shares were delivered to reporting person on February 22, 2016. The restricted stock unit will continue
- to vest annually and shares will be delivered to reporting person on each vest date 9. Represents the maximum number of shares which may be issued under the market stock unit granted on February 20, 2013.

Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.