FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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| Jion, D.C. 20549 | OMB APPROVAL |
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OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| 1. Name a Beard S | | Reporting Person [^] | | | | | Name a | | | | NC [ALC | GN] | | ck all applica | able) |) Perso | 10% Ov | vner |
|---|---|---|--|---------|-----------------|---|--|--------------------------------|-----------------|---|--------------------|---|---|--|---|--|--|------------|
| | IGN TECH | (First) (Middle) EN TECHNOLOGY INC. EHARD PARKWAY | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/20/2020 | | | | | | | | X Officer (give title Other (specify below) below) SVP and Mgng Director, EMEA | | | | |
| (Street) | SE C | A | 95131 | | 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | Line) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | (S | State) | (Zip) | | | | | | | | | | | | | | | |
| | | Та | ble I - No | n-Deriv | vativ | ve S | ecuritie | es Acq | uired, | Dis | - | | | Owned | | | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | | ear) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and | | | Beneficia Owned Fo | s Ily | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) | | | | (instr. 4) |
| Common Stock 02/ | | | | 02/20 | 0/2020 | | M | | 16,633 | A | \$0.00 | 30,276 | | D | | | | |
| Common Stock 02/20 | | | 0/202 | /2020 | | F | | 7,879 | D \$272. | | 22,3 | 22,397 | | D | | | | |
| | | | | | | | | | | | osed of, o | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day/ | ate, Ti | ransa ode (I | | 5. Numb Derivati Securiti Acquire Dispose (D) (Inst and 5) | ve es ed (A) or ed of | Expirat | e Exercisable and tition Date of Securiti Driegrical (Instr. 3 an | | es g Security | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficial Owned Following Reported | e Oves For ally or g (I) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | С | ode | v | (A) | (D) | Date Exercis | sable | Expiration Date | Title | Amount or Number of Shares | | Transaction (Instr. 4) | on(s) | | |
| Restricted Stock Unit | \$0.0001 ⁽¹⁾ | 02/20/2020 | | | M | | | 2,125 | (2) | | (2) | Common Stock | 2,125 | \$0.00 | 0 | | D | |
| Restricted Stock Unit | \$0.0001 ⁽¹⁾ | 02/20/2020 | | | M | | | 1,500 | (3) | | (3) | Common Stock | 1,500 | \$0.00 | 1,500 |) | D | |
| Restricted Stock Unit | \$0.0001 ⁽¹⁾ | 02/20/2020 | | | M | | | 450 | (4) | | (4) | Common Stock | 450 | \$0.00 | 900 | | D | |
| Restricted Stock Unit | \$0.0001 ⁽¹⁾ | 02/20/2020 | | | M | | | 558 | (5) | | (5) | Common Stock | 558 | \$0.00 | 1,674 | | D | |
| Market Stock Unit | \$0.0001 ⁽¹⁾ | 02/02/2020 | | | M | | | 12,000 | (6) | | (6) | Common Stock | 12,000 | \$0.00 | 0 | | D | |
| Restricted Stock Unit | \$0.0001 ⁽¹⁾ | 02/20/2020 | | | M | | 2,173 | | (7) | | (7) | Common Stock | 2,173 | \$0.00 | 2,173 | 3 | D | |
| Market | \$0.0001(1) | 02/20/2020 | | | М | | 11,030 | | (8) | | (8) | Common | 11,030 | \$0.00 | 11,030 | 0 | D | |

Explanation of Responses:

- 1. Represents par value of ALGN common stock.
- 2. 1/4th of the restricted stock unit granted on February 20, 2016 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date.
- 3. 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will annually and shares will be delivered to reporting person on each vest date.
- 4. 1/4th of the restricted stock unit granted on February 20, 2018 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will annually and shares will be delivered to reporting person on each vest date.
- 5. 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 6. The market stock unit granted on February 20, 2017 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date.
- 7. 1/4th of the restricted stock unit granted on February 20, 2020 will become vested on February 20, 2021 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 8. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Remarks:

<u>Julie Ann Coletti Atty-in-Fact</u> <u>for Simon Beard</u>

02/24/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

| Persons who respond to the collection of information conta | ined in this form are not required to | respond unless the form displays a c | urrently valid OMB Number. |
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LIMITED POWER OF ATTORNEY - SECURITIES LAW COMPLIANCE The undersigned, as an officer or director of Align Technology, Inc. (the "Company"), hereby constitutes and appoints John Morici and Julie Ann Coletti and each of them, the undersigned's true and lawful attorney-in-fact and agent to complete and execute such Forms 144, Forms 3, 4 and 5 and other forms as such attorney shall in his discretion determine to be required or advisable pursuant to Rule 144 promulgated under the Securities Act of 1933, as amended, Section 16 of the Securities Exchange Act of 1934, as amended, and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company, and to do all acts necessary in order to file such forms with the Securities and Exchange Commission, any securities exchange or national association, the Company and such other person or agency as the attorney shall deem appropriate. The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agents shall do or cause to be done by virtue hereof.

This Limited Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company unless earlier revoked by the undersigned in a writing delivered to the foregoing attorneys-in-fact.

This Limited Power of Attorney is executed at San Jose, California, as of the date set forth below.

/s/Simon Beard Simon Beard Dated: 07/17/19

Witness:

/s/ Jenifer Fishel Jenifer Fishel Dated: 07/17/2019