SEC I	Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person <sup>*</sup> Pascaud Raphael			2. Issuer Name and Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ ALGN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
			—	X Officer (give title Other (specify below) below)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	,				
			02/20/2014	VP, International				
C/O ALIGN TECHNOLOGY INC.								
2560 ORCHARD PARKWAY		<b>r</b>						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				· · · · · · · · · · · · · · · · · · ·				
SAN JOSE	СА	95131		X Form filed by One Reporting Person				
,		55151		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	02/20/2014	02/20/2014	М		1,000	A	\$0.00	1,467	D		
Common Stock	02/20/2014	02/20/2014	F		430	D	\$53.52	1,037	D		
Common Stock	02/20/2014	02/20/2014	М		875	A	\$0.00	1,912	D		
Common Stock	02/20/2014	02/20/2014	F		377	D	\$53.52	1,535	D		
Common Stock	02/20/2014	02/20/2014	М		1,000	A	\$0.00	2,535	D		
Common Stock	02/20/2014	02/20/2014	F		430	D	\$53.52	2,105	D		

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Num Deriva Securi Acquir or Disp of (D) ( 3, 4 an	tive ties ed (A) bosed Instr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Unit	\$0.0001 <sup>(1)</sup>	02/20/2014	02/20/2014	М			1,000	(2)	(2)	Common Stock	1,000	\$0.00	2,000	D	
Restricted Stock Unit	<b>\$0.0001</b> <sup>(1)</sup>	02/20/2014	02/20/2014	М			875	(3)	(3)	Common Stock	875	\$0.00	2,625	D	
Restricted Stock Unit	<b>\$0.0001</b> <sup>(1)</sup>	02/20/2014	02/20/2014	М			1,000	(4)	(4)	Common Stock	1,000	\$0.00	3,000	D	
Restricted Stock Unit	\$0.0001 <sup>(1)</sup>	02/20/2014	02/20/2014	A		4,900		(5)	(5)	Common Stock	4,900	\$0.00	4,900	D	
Restricted Stock Unit	\$0.0001 <sup>(1)</sup>	02/20/2014	02/20/2014	A		1,000		(6)	(6)	Common Stock	1,000	\$0.00	1,000	D	
Market Stock Unit	\$0.0001 <sup>(1)</sup>	02/20/2014	02/20/2014	Α		7,350		(7)	(7)	Common Stock	7,350	\$0.00	7,350	D	
Market Stock Unit	<b>\$0.0001</b> <sup>(1)</sup>	02/20/2014	02/20/2014	Α		1,500		(7)	(7)	Common Stock	1,500	\$0.00	1,500	D	

#### Explanation of Responses:

1. Represents par value of ALGN common stock.

2. 1/4th of the restricted stock unit granted on February 20, 2012 became vested on February 20, 2014 and shares were delivered to reporting person on that date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

3. 1/4th of the restricted stock unit granted on February 20, 2013 became vested on February 20, 2014 and shares were delivered to reporting person on that date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

4. 1/4th of the restricted stock unit granted on March 1, 2013 became vested on February 20, 2014 and shares were delivered to reporting person on that date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

5. 1/4th of the restricted stock unit will become vested on February 20, 2015 and 1/4th of the restricted stock unit will vest annually thereafter. Shares will be delivered to reporting person on each vest date. 6. 50% of the restricted stock unit will become vested on February 20, 2016 and 50% of the restricted stock unit will vest on February 20, 2017. Shares will be delivered to reporting person on each vest date.

7. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Remarks:

Fact for Raphael Pascaud

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.