FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |          |  |  |  |  |  |  |  |  |  |
|--------------------------|----------|--|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-028 |  |  |  |  |  |  |  |  |  |
| Estimated average burden |          |  |  |  |  |  |  |  |  |  |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Tay Julie</u>           |   |  |  |                   |   | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ ALGN ] |   |       |   |        |                     |  |                 |          | heck a  | tionship of Reportir<br>all applicable)<br>Director<br>Officer (give title |  | 10% (   | Owner  |
|---|---|--|--|-------------------|---|---|---|-------|---|--------|---------------------|--|-----------------|----------|---|--|--|---|--|
| (Last) (First) (Middle) ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKWAY |   |  |  |                   | 3. Date of Earliest Transaction (Month/Day/Year) 11/13/2017 |   |   |       |   |        |                     |  |                 |          |   | below  |  |   |  |
| (Street) SAN JOSE CA 95131 (City) (State) (Zip)                     |   |  |  |                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |   |   |       |   |        |                     |  |                 | ne)<br>X | vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |  |   |  |
|   |   | Tabl                                       | e I - Non  | -Deriva           | ative   | Se  | curitie   | s Acq | uired,                                  | Dis    | posed o             | f, or  | Bene            | eficia   | lly O   | wne  | d  |   |  |
| Date  |   |  |  |                   | e<br>nth/Day/Year)  |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |       | 3.<br>Transaction<br>Code (Instr.<br>8) |        |                     |  |                 | nd S     | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following   |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership               |  |
|   |   |  |  |                   |   |   |   |       |   | v      | Amount              |  | (A) or<br>(D)   | Price    | т   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             |  |   | (Instr. 4)   |
| Common Stock 11/13/2  |   |  |  |                   |   | /2017 11/13/20  |   | 2017  | S                                       |        | 3,500               | )  | D               | \$25     | \$253   |  | 2,213  | D   |  |
|   |   | Та   | ble II - D   |                   |   |   |   |       | ,                                       |        | sed of,<br>onvertib |  |                 | ,        | / Owi   | ned  |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                 | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | Date, Transaction |   |   |   |       | 6. Date E:<br>Expiratio<br>(Month/D     | n Date | 9                   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instrand 4) |                 |          |   |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |  |                   | Code  | v   | (A)   |       | Date<br>Exercisal                       |        | Expiration<br>Date  | Title  | or<br>Nun<br>of |          |   |  |  |   |  |

**Explanation of Responses:** 

Roger E. George Atty-in-Fact for Julia Tay

11/14/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.