## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287

Estimated average burden	
hours per response:	0.5

1. Name and Add <u>Puco Christ</u>	1 8	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ALIGN TECHNOLOGY INC</u> [ ALGN ]		all applicable) Director	10% Owner
,			—	<b>–</b> X	Officer (give title below)	Other (specify below)
(Last)	(Last) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)		,	,
	TECHNOLOCY		12/21/2015		VP, No. Ameri	can Sales
C/O ALIGN TECHNOLOGY INC.						
2560 ORCHARD PARKWAY		Y				
,			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable
(Street)				X	Form filed by One Rep	orting Person
SAN JOSE	CA	95131			, , ,	5
					Form filed by More that Person	an One Reporting
(City)	(State)	(Zip)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	Amount (A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1150. 4)	
Common Stock	12/21/2015	12/21/2015	М		10,000	A	\$0.00	10,287(1)	D		
Common Stock	12/21/2015	12/21/2015	F		4,300	D	\$64.16	5,987	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0001 <sup>(2)</sup>	12/21/2015	12/21/2015	М			10,000	(3)	(3)	Common Stock	10,000	\$0.00	10,000	D	

**Explanation of Responses:** 

1. Includes 287 shares issued under the ALGN Employee Stock Purchase Plan on July 31, 2015.

2. Represents par value of ALGN common stock

3. 1/4th of the restricted stock unit granted on January 3, 2013 became vested on December 20, 2015 and shares were delivered to reporting person on December 21, 2015. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

**Remarks:** 

/s/ Roger E. George Atty-In-Fact for Christopher C. Puco

12/22/2015

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.