FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington

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| , D.C. 20549 | OMB ABBBB   |
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| washington, D.C. 20049                       | OMB APPROVAL |      |  |  |
|--|--------------|------|--|--|
| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | OMB Number:  | 3235 |  |  |

-0287 Estimated average burden hours per response: 0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  PENDERGRASS LYNN  (Last) (First) (Middle)  |   |  |  |                                 | Suer Name and Ticker or Trading Symbol     ALIGN TECHNOLOGY INC [ ALGN ]      Date of Earliest Transaction (Month/Day/Year)     03/20/2017 |           |                                     |                     |  |   |                 |  | neck all appli<br>Direct        | or<br>(give title  |                    | 10% Ow<br>Other (sp<br>below)                                     | ner                                   |  |
|--|---|--|--|---------------------------------|--|-----------|-------------------------------------|---------------------|--|---|-----------------|--|---------------------------------|--|--------------------|---|---------------------------------------|--|
| C/O ALIGN TECHNOLOGY, INC.<br>2560 ORCHARD PARKWAY   |   |  |  |                                 |  |           |                                     |                     |  |   |                 |  |                                 |  |                    |   |                                       |  |
| (Street) SAN JOS (City)  |   |  | 95131<br>(Zip)                                       |                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |           |                                     |                     |  |   |                 | 6.<br>Lir  | e) X Form                       | lividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person |                    |   |                                       |  |
|  |   | Tab  | le I - Non-  | Deriva                          | tive S   | Securitie | s Ac                                | quired, [           | Disp   | osed o                                      | f, or Be        | neficia  | lly Owne                        | t  |                    |   |                                       |  |
| Date   |   |  |  | 2. Transac<br>Date<br>(Month/Da | Execution Date,  |           | Transaction Dispose Code (Instr. 5) |                     | Disposed   | ities Acquired (A)<br>d Of (D) (Instr. 3, 4 |                 | Benefic<br>Owned   | es<br>ially<br>Following        | 6. Owne<br>Form: D<br>(D) or In<br>(I) (Instr  | Direct Condinect E | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership               |                                       |  |
|  |   |  |  |                                 |  |           |                                     | Code                | v  | Amount (A) or (D)                           |                 | Price  | Reporte<br>Transac<br>(Instr. 3 | tion(s)  |                    |   | Instr. 4)                             |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |                                 |  |           |                                     |                     |  |   |                 |  |                                 |  |                    |   |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/Y | Co                              | e, Transaction<br>Code (Instr  |           | n of                                |                     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   |                 | 7. Title and Amour<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                 | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)                                  | y Di<br>or<br>(I)  | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |  | Co                              | de V   | (A)       | (D)                                 | Date<br>Exercisable |  | kpiration<br>ate                            | Title           | Amount<br>or<br>Number<br>of<br>Shares   |                                 |  |                    |   |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 03/20/2017                                 | 03/20/201  | .7 A                            | A  | 15,650    |                                     | (2)                 |  | (2)   | Common<br>Stock | 15,650   | \$0                             | 15,650   |                    | D   |                                       |  |

## **Explanation of Responses:**

- 1. Represents par value of ALGN common stock
- 2. 1/4th of restricted stock unit granted on March 20, 2017 will become vested on March 20, 2018 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will vest annually thereafter and shares will be delivered to reporting person on each vest date.

Roger E. George Atty-in-Fact 03/21/2017 for Lynn Pendergrass

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.