FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL |
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## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Pascaud Raphael              |   |  |  |         |   | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ ALGN ] |  |        |  |                              |   |   |                     | ck all applica<br>Director   | ector   |                     | 10% Ov   | vner                                  |  |
|--|---|--|--|---------|---|---|--|--------|--|------------------------------|---|---|---------------------|--|---|---------------------|--|---------------------------------------|--|
| (Last) (First) (Middle) C/O ALIGN TECHNOLOGY INC. 2560 ORCHARD PARKWAY |   |  |  |         | 3. Date of Earliest Transaction (Month/Day/Year) 02/20/2018 |   |  |        |  |                              |   |   | X                   | - X Officer (give title Other (specify below)  CMO & Bus. Dev  |   |                     |  |                                       |  |
| (Street) SAN JOSE CA 95131   |   |  | 95131  |         | 4.  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  |  |        |  |                              |   |   |                     | 6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting |   |                     |  |                                       |  |
| (City) (State) (   |   |  | (Zip)  |         |   |   |  |        |  |                              |   |   | Person              |  |   |                     |  |                                       |  |
|  |   | Та   | ble I - No   | n-Deriv | vativ   | ve S  | ecuritie   | es Acq | uired,   | Dis                          | posed of,   | , or Ben  | eficially           | Owned  |   |                     |  |                                       |  |
| 1. Title of Security (Instr. 3)  2. Trans Date (Month/I                |   |  |  |         |   |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |        | 3.<br>Transaction<br>Code (Instr.<br>8)            |                              | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3, |   |                     | 5. Amoun<br>Securities<br>Beneficia<br>Owned Fo  | s  <br>Ily  | Form:               | Direct Indirect I  | Ownership                             |  |
|  |   |  |  |         |   |   |  |        |  | v                            | Amount  | (A) or<br>(D)   | Price               |  | Transaction(s)<br>(Instr. 3 and 4)  |                     |  | (Instr. 4)                            |  |
| Common Stock 02/20   |   |  |  |         |   | 2018  |  |        | M  |                              | 28,350  | A   | \$0.00              | 48,9   | 48,912  |                     | D  |                                       |  |
| Common Stock 02/20/  |   |  |  |         |   |   |  |        | F  |                              | 13,325  | D   | \$255.45            | 35,587   |   |                     | D  |                                       |  |
|  |   |  | Table II -   |         |   |   |  |        |  |                              | osed of, c  |   |                     | Owned  |   |                     |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                    | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | .   C   | ransa<br>Code (I  |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of<br>(D) (Instr. 3, 4<br>and 5) |        | 6. Date Exercis<br>Expiration Dat<br>(Month/Day/Ye |                              | ite   | 7. Title and<br>of Securiti<br>Underlying<br>Derivative<br>(Instr. 3 an | es<br>G<br>Security | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported | e<br>s<br>Illy<br>J | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |  | С       | Code  | v   | (A) (D) Date Expiration Date Expiration Date Title Amount or Number of Shares                            |        |  | Transaction(s)<br>(Instr. 4) |   |   |                     |  |   |                     |  |                                       |  |
| Market<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 18,000 | (2)  |                              | (2)   | Common<br>Stock   | 18,000              | \$0.00   | 0   |                     | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 1,225  | (3)  |                              | (3)   | Common<br>Stock   | 1,225               | \$0.00   | 0   |                     | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 1,225  | (4)  |                              | (4)   | Common<br>Stock   | 1,225               | \$0.00   | 0   |                     | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 3,000  | (5)  |                              | (5)   | Common<br>Stock   | 3,000               | \$0.00   | 3,000   | )                   | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 3,000  | (6)  |                              | (6)   | Common<br>Stock   | 3,000               | \$0.00   | 6,000   | )                   | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | M   |   |  | 1,900  | (7)  |                              | (7)   | Common<br>Stock   | 1,900               | \$0.00   | 5,700   | )                   | D  |                                       |  |
| Restricted<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | A   |   | 2,100  |        | (8)  |                              | (8)   | Common<br>Stock   | 2,100               | \$0.00   | 2,100   |                     | D  |                                       |  |
| Market<br>Stock Unit   | \$0.0001 <sup>(1)</sup>   | 02/20/2018                                 |  |         | Α   |   | 10,500   |        | (9)  |                              | (9)   | Common  | 10,500              | \$0.00   | 10,50   | 0                   | D  |                                       |  |

## **Explanation of Responses:**

Stock Unit

- 1. Represents par value of ALGN common stock.
- 2. Represents the maximum number of shares issued under the market stock unit granted on February 20, 2015. Shares were delivered to reporting person on February 20, 2018.
- 3. 1/4th of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date.
- 4. 1/4th of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date.
- 5. 1/4th of the restricted stock unit granted on February 20, 2015 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date
- 6. 1/4th of the restricted stock unit granted on February 20, 2016 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 7. 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 8. 1/4th of the restricted stock unit granted on February 20, 2018 will become vested on February 20, 2019 and shares will be delivered to reporting person on such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 9. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

## Remarks:

Roger E. George Atty-In-Fact for Rafael Pascaud

02/22/2018

Date

\*\* Signature of Reporting Person

Stock

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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