SEC Form 5

| FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | | | | | | | | | |
|--|--|------------------------|---|---|---|---|--------|----------|--|--|--|--|--|---|--|--|
| Check t | | Washington, D.C. 20549 | | | | | | | | | | OMB APPROVAL | | | | |
| Section obligation Instruct | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | | | Es | OMB Number: 3235-0362 Estimated average burden hours per response: 1.0 | | | | | |
| Form 3 | Holdings Repo | | ····- | | | | | | | | | urs per | response: | 1.0 | | |
| Form 4 | Form 4 Transactions Reported. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | | | | |
| 1. Name an GEORC | | | | | | | | | | 5. Relationship of Report (Check all applicable) Director V Officer (give title | | | lssuer Owner r (specify | | | |
| (Last) C/O ALIO 2560 OR | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2017 | | | | | | | | X Onler (give nue below) below) VP Legal Affairs, Gen. Counsel | | | | | | | |
| (Street) SAN JOSE CA 95131 | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| (City) | | | | | | | | | | Person | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | |
| Date | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | | | | or Dispose | Securities Beneficially | | 6. Ownership Form: Direct (D) or | | 7. Nature of Indirect Beneficial |
| | | | | | | | | Amou | nt | (A) or (D) | Price | Issuer's | Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | | | Ownership (Instr. 4) |
| Common Stock | | | 06/16/2017 | 06/16/2017 | | C | 3 | | 65 | D | \$ <mark>0</mark> | 1, | 1,292(1) | | D | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | ivative Conversion Date urity or Exercise (Month/Day/Year) | | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Expira | ation Da | e Exercisable and tion Date n/Day/Year) | | e and nt of ities lying ative ity (Instr. 3 | 8. Price of Derivative Security (Instr. 5) | 9. Numbo derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4) | e es ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | | | | or Number | | | | | |

Explanation of Responses:

1. Includes 81 shares acquired under the ALGN ESPP on July 31, 2017.

Roger E. George

Title

01/24/2018 Date

** Signature of Reporting Person

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)

Date Exercisable Expiration Date