FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPROVAL												
	OMB Number:	3235-0287											
l	Estimated average burden												
l	hours per response:	0.5											

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Relic Zelko							2. Issuer Name <b>and</b> Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ ALGN ]								k all applica Director	able)	g Perso	on(s) to Issu 10% Ow	ner
(Last) (First) (Middle) C/O ALIGN TECHNOLOGY INC. 2820 ORCHARD PARKWAY							3. Date of Earliest Transaction (Month/Day/Year) 02/20/2020									(give title Other (specify below)  5Vp, R&D and CTO			респу
(Street) SAN JOSE CA 95131 (City) (State) (Zip)					_   4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
		Та	ble I - No	n-Der	rivativ	ve S	ecuriti	es Acc	uired	, Dis	posed of	, or Be	nefic	ially	Owned				
Date						ate Ionth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction   Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			Securities Beneficia Owned Fo	neficially vned Following		Direct Endirect Etr. 4)	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	Amount (A) or (D)		e	Reported Transaction (Instr. 3 a	Transaction(s) Instr. 3 and 4)		1	Instr. 4)			
Common Stock 02/20,						20			M		19,371	A	\$0.00		46,745 <sup>(1)</sup>			D	
Common Stock 02/20.						20			F	F 10,143 D \$		\$27	72.49	36,0	36,602		D		
			Table II -					•	,		osed of, convertib			•	wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Date,	Code (Ins				6. Date Exercisa Expiration Date (Month/Day/Yea		te of Secu		ties 1g e Secu		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported	e s illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amo or Num of Shai	ber		Transaction(s			

## Explanation of Responses:

Restricted Stock Unit

Restricted

Stock Unit

Stock Unit

Restricted

Stock Unit

Stock Unit

Restricted

Stock Unit

Stock Unit

Market

\$0.0001(2)

**\$0.0001**<sup>(2)</sup>

**\$0.0001**<sup>(2)</sup>

**\$0.0001**<sup>(2)</sup>

\$0.0001(2)

\$0.0001(2)

\$0.0001(2)

1. Includes 123 shares acquired under the ALGN ESPP on January 31, 2020.

02/20/2020

02/20/2020

02/20/2020

02/20/2020

02/20/2020

02/20/2020

02/20/2020

- 2. Represents par value of ALGN common stock.
- 3. 1/4th of the restricted stock unit granted on February 20, 2016 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date.
- 4. 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will annually and shares will be delivered to reporting person on each vest date.

2,500

1,750

525

596

14,000

1,932

9,805

(3)

(4)

(5)

(6)

(7)

(8)

(9)

- 5. 1/4th of the restricted stock unit granted on February 20, 2018 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will annually and shares will be delivered to reporting person on each vest date.
- 6. 1/4th of the restricted stock unit granted on February 20, 2019 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 7. The market stock unit granted on February 20, 2017 became vested on February 20, 2020 and shares were delivered to reporting person on such vest date.

M

M

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M

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A

- 8. 1/4th of the restricted stock unit granted on February 20, 2020 will become vested on February 20, 2021 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 9. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

## Remarks:

<u>Julie Ann Coletti Atty-in-Fact</u> for Zelko Relic

Common

Stock

Common

Commo

Stock

Common

Common

Stock

Stock

Common

Stock

2,500

1.750

525

596

14,000

1,932

9,805

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

0

1,750

1,050

1,785

0

1,932

9,805

D

D

D

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D

D

D

(3)

(4)

(5)

(6)

(7)

(8)

02/24/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.