FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | | |
|--------------|----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | | | | |

37 Estimated average burden hours per response: 0.5

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Morici John | | | | | | 2. Issuer Name and Ticker or Trading Symbol ALIGN TECHNOLOGY INC [ALGN] | | | | | | | | ck all applic Directo | able) r | Pers | Person(s) to Issuer 10% Owner | |
|---|---|--|--|-----------------|------------------------------|--|--|-----------------------------------|--|------------------------------|-----------------------|---|--|---|---|---|--|--|
| | • | First) NOLOGY INC. ARKWAY | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/20/2018 | | | | | | | | | Officer (give title Other (specify below) below) Chief Financial Officer | | | |
| (Street) | | | | | _ 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| SAN JOS | SE C | A | 95131 | | _ | Form filed by One Reporting Persor Form filed by More than One Report Person | | | | | | | | - 1 | | | | |
| (City) | (S | State) | (Zip) | | | | | | | | | | | | | | | |
| | | Та | ble I - No | n-Deri | ivativ | ve S | ecuritie | s Acq | Juired, | Dis | posed of | f, or Ben | eficially | Owned | | | | |
| Date | | | Date | n/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Disposed Code (Instr. | | es Acquired Of (D) (Instr | (A) or 3, 4 and 5 | Beneficia Owned F | s illy ollowing | Form (D) or | : Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | nt (A) or Pri | | Reported Transacti (Instr. 3 a | ion(s) | | | (Instr. 4) | |
| Common | Stock | | | 02/2 | 20/201 | 18 | | | M | | 1,750 | A | \$0.00 | 00 3,551 ⁽¹⁾ D | | | | |
| Common | Stock | | | 02/2 | 20/201 | 18 | | | F | | 632 | D | \$255.4 | 5 2,9 | 2,919 D | | | |
| | | | Table II - | Deriv (e.g., | ative puts | Sec s, cal | curities lls, war | Acqu rants, | ired, C optio | Disp ns, c | osed of, convertib | or Bene le secui | ficially ities) | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Day | Date, | 4. Transa Code (8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exerci Expiration Da (Month/Day/Yo | | te | 7. Title and Amou of Securities Underlying Derivative Securi (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio | e s ully | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | on(s) | | |
| Restricted Stock Unit | \$0.0001 ⁽²⁾ | 02/20/2018 | | | M | | | 1,750 | (3) | | (3) | Common Stock | 1,750 | \$0.00 | 5,250 | | D | |
| Restricted Stock Unit | \$0.0001 ⁽²⁾ | 02/20/2018 | | | A | | 2,300 | | (4) | | (4) | Common Stock | 2,300 | \$0.00 | 2,300 |) | D | |
| Market | \$0.0001 ⁽²⁾ | 02/20/2018 | | I | A | | 11,250 | | (5) | | (5) | Common | 11,250 | \$0.00 | 11,250 | 0 | D | |

Explanation of Responses:

- 1. Includes 257 shares acquired under the ALGN ESPP on January 31, 2018.
- 2. Represents par value of ALGN common stock.
- 3. 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2018 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- 4. 1/4th of the restricted stock unit granted on February 20, 2018 will become vested on February 20, 2019 and shares will be delivered to reporting person on such vest date. 1/4th of the restricted stock unit will vest annually thereafter and shares will be delivered to reporting person on each vest date.
- 5. Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Remarks:

Stock Unit

Roger E. George Atty-In-Fact for John Morici

02/22/2018

** Signature of Reporting Person

Stock

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.