FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	MB APPROVAL								
OMB Number:	3235-0287								
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0.5

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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LACOB JOSEPH</u>									er or Trad		ymbol NC [AL	(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
	•	NOLOGY, INC.	(Middle)		05	5/16/2	2018		action (Mc		, , ,		below)			Other (s below)		
(Street) SAN JOSE CA 95131 (City) (State) (Zip)					_ 4.	If Ame	endment,	, Date o	f Original I	Filed	(Month/Da	Line	Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tak	ole I - Nor	n-Deri	vativ	e Se	curitie	es Acc	nuired.	Dist	oosed o	f. or Be	neficial	v Owned				
1. Title of Security (Instr. 3) 2. Trans			nsaction	action 2 E Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		ies Acquir Of (D) (Ins	ed (A) or	5. Amour Securitie Beneficia Owned F	nt of es ally collowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) o	Price		eported ansaction(s) str. 3 and 4)			(Instr. 4)
Common Stock 05			05/1	16/2018				М		3,115	A	\$0	299	299,379		I	Trust for reporting person	
			Table II - I								osed of, onvertib			Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, Transaction Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Sect (Instr. 3 and 4)				of s ig e Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio	e s ally	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Cod	Code	le V	(A)	(D)	Date Exercisal		Expiration Date	Title	Amount or Number of Shares		(Instr. 4)			
Restricted Stock Uni	\$0.0001(1)	05/16/2018			M			3,115	(2)		(2)	Common Stock	3,115	\$0	0		D	
Restricted Stock Unit	\$0.0001 ⁽¹⁾	05/16/2018			A		1,042		(3)		(3)	Common Stock	1,042	\$0	1,042	2	D	

Explanation of Responses:

- 1. Represents par value of ALGN common stock.
- 2. 100% of the restricted stock unit granted on May 17, 2017 became vested on May 16, 2018 and shares were delivered to reporting person on that date.
- 3. 100% of the restricted stock unit granted on May 16, 2018 will become vested on the earlier of (i) May 16, 2019 or (ii) the date of the 2019 annual meeting of stockholders. Shares will be delivered to reporting person on the vest date.

Roger E. George Atty-in-Fact for Joseph Lacob

05/18/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.