| SEC Form 4 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION  |  |  |  |                         |  |                         |     |  |                    |  |  |   |  |                                 |  |  |
|---|--|--|--|-------------------------|--|-------------------------|-----|--|--------------------|--|--|---|--|---------------------------------|--|--|
| Section 16. Form 4 or Form 5<br>obligations may continue. See   |  |  |  |                         | Washington, D.C. 20549<br><b>NT OF CHANGES IN BENEFICIAL OWNERSHIP</b><br>d pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940 |                         |     |  |                    |  |  |   | OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5  |                                 |  |  |
| 1. Name and Address of Reporting Person*           SANTORA GREG J           (Last)         (First)         (Middle) |  |  |  |                         | ALIGN TECHNOLOGY INC       [ ALGN ]       (Cf         3. Date of Earliest Transaction (Month/Day/Year)       (Cf   |                         |     |  |                    |  |  |   | Relationship of Reporting<br>neck all applicable)<br>X Director<br>Officer (give title<br>below)                           |                                 |  | uer<br>vner<br>specify   |
| C/O ALIGN TECHNOLOGY INC.<br>2820 ORCHARD PARKWAY<br>(Street)   |  |  |  |                         | Line)  |                         |     |  |                    |  |  |   | Joint/Group Filing (Check Applicable<br>iled by One Reporting Person   |                                 |  |  |
| SAN JOS<br>(City)   | 95131<br>(Zip)   | _  |  |                         |  |                         |     |  |                    | Form f<br>Persor   | iled by More   | •   | 0  |                                 |  |  |
| Table I - Non-Deriva       1. Title of Security (Instr. 3)     2. Transa Date (Month/D)                             |  |  |  |                         | ction 2A. Deemed<br>Execution Date   |                         |     | ar) 8) (A) or  |                    | ed (A) or<br>str. 3, 4 an  | d 5. Amou<br>Securitie<br>Benefici<br>Owned 1<br>Reporte | int of<br>es<br>ally<br>Following<br>d              | Form   | : Direct<br>Indirect<br>str. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |
|   | Code       V       Amount       (A) or<br>(D)       Price       Transaction(s)<br>(Instr. 3 and 4)         Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities)       Owned |  |  |                         |  |                         |     |  |                    |  |  |   |  |                                 |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year | 4.<br>Transa<br>Code (I |  | 5. Number<br>saction of |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) |                                 | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |  | Code                    | v  | (A)                     | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares                   |   |  |                                 |  |  |
| Restricted<br>Stock Unit  | <b>\$0.0001</b> <sup>(1)</sup>   | 05/20/2020                                 |  | Α                       |  | 1,271                   |     | (2)  | (2)                | Common<br>Stock  | 1,271  | \$0.00  | 1,271  |                                 | D  |  |

Explanation of Responses:

1. Represents par value of ALGN common stock.

2. 100% of the restricted stock unit granted on May 20, 2020 will become vested on the earlier of (i) May 20, 2021 or (i) the date of the 2021 annual meeting of stockholders. Shares will be delivered to reporting person on the vest date.

## **Remarks:**

## /s/Julie Ann Coletti Attorney-05/21/2020 in-Fact Greg J Santora

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.